

Diana Capes

Subject: Latest News from Current Headlines | NASAA for 10/31/2023

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NASAA Updates: Latest News

[NASAA Launches Exam Validity Extension Program for Investment Adviser Representatives](#)

Oct 31, 2023 11:38 am | Karen Grajales

WASHINGTON, D.C. – (October 31, 2023) – The North American Securities Administrators Association (NASAA) today announced the launch of the Investment Adviser Representative [Exam Validity Extension Program](#) (IAR EVEP). The IAR EVEP provides eligible individuals with the opportunity to extend the validity of their Series 65 examination for up to five years while not registered with a jurisdiction that has adopted the program. Eligible individuals can now extend all NASAA examinations through both the Broker-Dealer Agents (AG) EVEP and the IAR EVEP in participating states.

“With the release of the IAR EVEP, individuals can now enroll all of their eligible NASAA exams into the applicable exam validity extension programs,” said Claire McHenry, NASAA President and Deputy Director of the Nebraska Department of Banking and Finance Bureau

of Securities. “The IAR EVEP, specifically, will allow eligible individuals the opportunity to maintain the validity of their state qualification exams while staying relevant on industry practices and ethical responsibilities by completing the required IAR CE.”

Eligible individuals may enroll in the IAR EVEP through their Financial Professional Gateway (FinPro) account to extend their Series 65 examination validity, for up to five years by paying an annual fee of \$35 and maintaining compliance with program requirements. Importantly, while all eligible individuals can enroll in the program, exam validity extensions will only be recognized when an individual reenters the industry and registers in states that have adopted the program.

Now that both programs are accepting enrollments, eligible individuals have the opportunity to enter the IAR EVEP and the AG EVEP to extend both parts of the Series 66 exam by enrolling their Series 63 and Series 65 Credits, if applicable.

More information, including updates on state adoption of these programs, can be found [here](#).

– NASAA–

About NASAA:

Organized in 1919, the North American Securities Administrators Association (NASAA) is the oldest international organization devoted to investor protection. NASAA is a voluntary association whose membership consists of the securities regulators in the 50 states, the District of Columbia, Puerto Rico, Guam, the U.S. Virgin Islands, the 13 provincial and territorial securities regulators in Canada, and the securities regulator in México. For more information, visit www.nasaa.org.

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The post [NASAA Launches Exam Validity Extension Program for Investment Adviser Representatives](#) appeared first on [NASAA](#).

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