

Continuing Education (CE)

Transforming the Securities Industry CE Program

Announcements

- **Apply Regulatory Element CE to IAR CE Products & Practices Requirements in Firm Settings:** Effective June 30, firms may opt in to a new Firm Setting in FINRA Gateway to automatically apply Regulatory Element CE to associated individuals who also have an IAR CE Products & Practices requirement. For more information about IAR CE requirements, see the [IAR CE page on iard.com](#).
 - **2022 Q2 Firm Element Needs Analysis Quarterly Highlights** (formerly named the Firm Element Advisory) is now available on the [CE Council](#) website.
-

IAR CE

A registered representative who is also registered as an investment adviser representative (IAR) may be subject to IAR CE requirements beginning in 2022.

The ability for IARs to apply Regulatory Element training to the Products and Practice requirement will become available June 30, 2022. Individuals can access the functionality through their [FinPro \(Financial Professional Gateway\)](#) accounts. If a firm would like to cover the Regulatory Element reporting fee for all individuals registered with their firm, they can opt in to the new IAR CE Regulatory Element/Products and Practice (PP) Fee firm setting in FINRA Gateway. More information is available in the [Firm Settings Guide](#).

For information about IAR CE, please visit [NASAA's IAR CE](#) page.